

Compliance plan for Scanpower Distributed Unmetered Load for Meridian Energy

Title: Deriving Submission Information		
Non-compliance	Description	
Audit Ref: 2.1 With: 11(1) of Schedule 15.3 From: after December 2016	Incorrect kW information was used to calculate submission information for some months. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is low, because the difference in kW is small, and only affected one ICP.	
Actions taken to resolve the issue	Completion date	Remedial action status
We have sent corrected kW information to our agent and revisions of historic submissions will be undertaken in accordance with the wash up cycle.	Dec 2017	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Scanpower are now providing database information to us monthly so changes can be identified when they occur.	Ongoing	

Title: All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: after December 2016	Three lights were missing from the database. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong, as they are sufficient to mitigate the risk most of the time. The impact is rated as low, because the inaccuracies had no impact on submissions, which were based on old database information. Scanpower checked the missing lights and updated their database within one business day of being informed of the discrepancy.	

Actions taken to resolve the issue	Completion date	Remedial action status
As reported, the 3 additional lights found in the field have been added to the database.	Nov 2017	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
Controls are reported as strong and are considered adequate to sufficiently mitigate risk of errors occurring most of the time.	Ongoing	

Title: Database Accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: 15.2 From: after December 2016	Three lights were missing from the database. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong, as they are sufficient to mitigate the risk most of the time. The impact is low. Three lights were missing from the database, and the difference was 252 W, or approximately 1000 kWh per annum. Scanpower checked the missing lights and updated their database within one business day of being informed of the discrepancy.	
Actions taken to resolve the issue	Completion date	Remedial action status
As reported, the 3 additional lights found in the field have been added to the database.	Nov 2017	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
Controls are reported as strong and are considered adequate to sufficiently mitigate risk of errors occurring most of the time.	Ongoing	

Title: Volume Information Accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: 15.2 From: after December 2016	Incorrect kW information was used to calculate submission information for some months. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	

Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact is low, because the difference in kW is small, and only affected one ICP.		
Actions taken to resolve the issue		Completion date	Remedial action status
We have sent corrected kW information to our agent and revisions of historic submissions will be undertaken in accordance with the wash up cycle.		Dec 2017	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Scanpower are now providing database information to us monthly so changes can be identified when they occur.		Ongoing	