

## Compliance plan for Alinta Energy

Non-compliance	Description	
Audit Ref: 2.8 With: 11.15B of Part 11  From: 01-Oct-16 To: 30-Sep-17	The Alinta Energy contract (PPA) with NZ Steel does not permit the Authority to assign the rights and obligations of the trader under the contract to another trader if the trader commits an event of default  Potential impact: Low  Actual impact: Low  Audit history: None  Controls: None  Breach risk rating: 5	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	Low risk was assigned because it was overlooked by the company. Once identified Alinta Energy promptly has taken action to address it; no impact on settlement outcomes	
Actions taken to resolve the issue	Completion date	Remedial action status
Alinta is in the process of rectifying the non-compliance by varying the PPA, subject to agreement with NZ Steel.	30 October 2017	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
None. Alinta will be fully compliant after the variation to the PPA.	30 October 2017	