

Compliance plan for Pulse Material Change - 2017

Calculation of ICP days		
Non-compliance	Description	
Audit Ref: 11.2 With: Clause 15.6 From: 17-Sep-17 To: 17-Sep-17	ICP days calculation one day short for four scenarios Potential impact: Medium Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	I have recorded the controls as strong because this matter was identified by Pulse and GT4 will not be used for ICP days until the calculations are correct. There is no impact on settlement because the matter will be resolved during stage 2. If this error was present in a live situation there could be an impact on scaling.	
Actions taken to resolve the issue	Completion date	Remedial action status
Data migration is expected to resolve this issue, however until the ICP Day calculation is compliant, Cobra NHH reconciliation system will continue to be used for ICP Days calculation and submission.	June 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Do not use Gentrack 4 for ICP Days calculation and AV110 submission until this is resolved.	In place Sep 2017	

Electricity supplied information provision to the reconciliation manager		
Non-compliance	Description	
Audit Ref: 11.3 With: Clause 15.6 From: 17-Sep-17 To: 17-Sep-17	Electricity supplied file errors due to data migration issues Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	I have recorded the controls as strong because this matter was identified by Pulse and GT4 will not be used for electricity supplied until the data is correct. There is no impact on settlement because the matter will be resolved during stage 2.	
Actions taken to resolve the issue	Completion date	Remedial action status
Data cleansing exercise to be performed on legacy invoice table content. This relates to Gentrack 3.8 GXP and network content for ICPs converted from HHR to NHH submission, and does not affect BAU volume supplied calculation.	June 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Continue to use legacy volume supplied AV120 report (which does not rely on network and GXP data from invoice tables) using data warehouse until data quality issues are resolved.	In Place Sep 2017	

Historical estimate process		
Non-compliance	Description	
Audit Ref: 12.11 With: Clause 4 and 5 Schedule 15.3 From: 17-Sep-17 To: 17-Sep-17	One HE scenario calculating incorrectly Potential impact: None Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	I have recorded the controls as strong because this matter was identified by Pulse and GT4 will not be used until all HE scenarios are accurate. There is no impact on settlement because the matter will be resolved during stage 2.	
Actions taken to resolve the issue	Completion date	Remedial action status
Data cleansing of legacy data produced by Gentrack 3.8 will be performed. This will be a pre-requisite for submission of NHH volume data from Gentrack 4.	June 2018	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Do not use Gentrack 4 for NHH Volume calculation and AV080 submission until this is resolved.	In Place Sep 2017	