

## Compliance plan for ESD Power Ltd (ESDP)

Responsibility for Metering Information		
Non-compliance	Description	
<b>Audit ref:</b> 6.3 <b>With:</b> Clause 10.25(3)  <b>From/to:</b> 13/3/17-30/6/17	The recertification of 2 NSPs not advised to the Reconciliation Manager within 20 business days of recertification. <b>Potential impact:</b> Low <b>Actual impact:</b> Low <b>Audit history:</b> None <b>Controls:</b> Weak <b>Breach Risk Rating:</b> 3	
Audit Risk Rating	Rationale for audit risk rating	
Low	The controls are weak in relation to the monitoring of metering certifications. This has no impact on reconciliation hence the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
NSP table has been updated to reflect new certification expiry dates.		~26 July 2017
Preventative actions taken to ensure no further issues will occur		Completion date
We had been taking a passive approach to this, relying on third parties to alert us to new certification dates and provide paperwork. This has clearly not worked so we have now taken the monitoring in-house for all networks we manage, created a database and set reminders accordingly.		Completed.
		Identified