

Report on market operation service providers' compliance

July 2017

This report includes:

- the publication¹ of the sections of the reports that relate to breaches of the Electricity Industry Participation Code (Code) by the pricing manager, received by the market administrator in the previous calendar month
- the republication² of the sections of the reports that relate to breaches of the Code by the clearing manager, received by the market administrator in the previous calendar month
- the republication³ of the sections of the initial report that relate to breaches of the Code by the reconciliation manager, received by the Authority in the current calendar month
- the republication of the sections of the report that relate to breaches of the Code by the FTR manager, received by the Authority during the previous calendar month
- the republication of the sections of the report that relate to breaches of the Code by the registry manager, received by the Authority during the current calendar month
- the publication of any self-reported breaches of the Code by the market administrator for the previous calendar month
- the republication of sections of the report that relate to breaches of the Code by the system operator and the system operator's discretionary action, received by the market administrator in the previous month.

¹ As required by clause 13.214 of the Code

² As required by clause 14.69 of the Code

³ Clause 15.33 of the Code requires the Authority to publish the sections of the reports that relate to an alleged breach of the Code by the reconciliation manager by 1630 hours on the 2nd business day following the day on which the Authority receives the report. These reports are available at <http://www.ea.govt.nz/industry/market/statistics-reports/reconciliation-manager-monthly-breach-reports/>.

Self-reported breaches by the pricing manager (*under clause 13.213 of the Code*)

The pricing manager reported two breached of the Code in June. Under Clause 13.144(3) the pricing manager is required to publish notice of a metering situation no later than 0900 hours on the day that the pricing manager receives the relevant input information. On 3 June 2017, the pricing manager received metering data input information for trading day 2 June 2017 that triggered a metering situation. However the metering situation notice was published at 0904 hours, missing the deadline required by four minutes. The incident was caused by an analyst error.

On 26 June 2017 the pricing manager did not include details of a provisional price situation that occurred on trading day 24 June 2017 in the daily compliance report, as required under Clause 13.213(1)(a). A revised daily compliance report containing details for the provisional price situation for 24 June 2017 was sent at 1007 hours.

Self-reported breaches by the clearing manager (*under clause 14.68 of the Code*)

There were no self-reported breaches by the clearing manager.

Self-reported breaches by the reconciliation manager (*under clause 15.30(1) of the Code*)

Note that this is a summary of the reconciliation manager monthly breach report which is published separately

There were no self-reported breaches by the reconciliation manager.

Self-reported breaches by the FTR manager (*Not required by the Code*)

There were no self-reported breaches by the FTR manager.

Self-reported breaches by the registry manager (*Not required by the Code*)

There were no self-reported breaches by the registry manager.

Self-reported breaches by the market administrator (*under clause 3.14*)

There were no self-reported breaches by the market administrator.

Self-reported breaches by the system operator (*under clause 3.14*)

The system operator reported one breach of the Code in June, for a failure of a long non-response schedule to complete solving within the required one hour. The cause of the delay was a hardware fault in one of the machines hosting the market solver.

Discretionary action by the system operator (*under clause 13.102*)

Event Date & Time

Event Description

14/6/2017 7:58:04 AM

ARG1101 BRR0 Discretion Clause 13.70, Part 13 ENR Max: 0 Start: 14-Jun-2017 07:58 End: 14-Jun-2017 08:30 Notes: ARG_KIK_1 outage from 08:00. BRR off for the switching. Last Dispatched Mw: 11

16/6/2017 1:24:20 PM

ARG1101 BRR0 Discretion Clause 13.70, Part 13 ENR Max: 0 Start: 16-Jun-2017 13:30 End: 16-Jun-2017 14:00 Notes: Last Dispatched Mw: 0

This report was published by the market administrator on 21 July 2017. For further inquiries please contact the market administrator at the Electricity Authority or send an email to marketoperations@ea.govt.nz.