

## Report on market operation service providers' compliance

### January 2016

This report includes:

- the publication<sup>1</sup> of the sections of the reports that relate to breaches of the Electricity Industry Participation Code (Code) by the pricing manager, received by the market administrator in the previous calendar month
- the republication<sup>2</sup> of the sections of the reports that relate to breaches of the Code by the clearing manager, received by the market administrator in the previous calendar month
- the republication<sup>3</sup> of the sections of the initial report that relate to breaches of the Code by the reconciliation manager, received by the Authority in the current calendar month
- the republication of the sections of the report that relate to breaches of the Code by the FTR manager, received by the Authority during the previous calendar month
- the republication of the sections of the report that relate to breaches of the Code by the registry manager, received by the Authority during the current calendar month
- the publication of any self-reported breaches of the Code by the market administrator for the previous calendar month
- the publication<sup>4</sup> of sections of the reports that relate to breaches of the Code by the system operator and the system operator's discretionary action, received by the market administrator in the previous month.

---

<sup>1</sup> As required by clause 13.214 of the Code

<sup>2</sup> As required by clause 14.69 of the Code

<sup>3</sup> Clause 15.33 of the Code requires the Authority to publish the sections of the reports that relate to an alleged breach of the Code by the reconciliation manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at <http://www.ea.govt.nz/industry/market/statistics-reports/reconciliation-manager-monthly-breach-reports/>.

<sup>4</sup> As required by clauses 13.102(2) of the Code. Publication of the system operator's discretionary action is not required by the Code.

**Self-reported breaches by the pricing manager (under clause 13.213 of the Code)**

There were no self-reported breaches by the pricing manager.

**Self-reported breaches by the clearing manager (under clause 14.74 of the Code)**

There were no self-reported breaches by the clearing manager.

**Self-reported breaches by the reconciliation manager (under clause 15.30(1) of the Code)**

Note that this is a summary of the reconciliation manager monthly breach report which is published separately

There were no self-reported breaches by the reconciliation manager.

**Self-reported breaches by the FTR manager (Not required by the Code)**

There were no self-reported breaches by the FTR manager.

**Self-reported breaches by the registry manager (Not required by the Code)**

There were no self-reported breaches by the registry manager.

**Self-reported breaches by the market administrator**

There were no self-reported breaches by the market administrator.

**Self-reported breaches by the system operator (under clause 13.102 (1) of the Code)**

Date of breach	Time of breach	Rule breached	Nature of breach	Reason for breach
(dd/mm/yy)	(hh:mm )			
11 September 2015	11:13 to 11:17	Part 13 - 13.70(a)	Party: Transpower Transpower applied an incorrect discretionary constraint Our Ref: EGR 3145	

**Discretionary action by the system operator (under clause 13.102 (1) of the Code)**

08:09	DISCRETION	SWN2201 SWN5 Discretion Clause 13.70, Part 13 ENR Min: 30 Start: 30-Nov-2015 08:09 End: 30-Nov-2015 08:30 Notes: required due to scheduled shortfall Last Dispatched Mw: 6.78
11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 242 Start: 30-Nov-2015 11:05 End: 30-Nov-2015 11:30 Notes: Line 2 Reduction 120MW Last Dispatched Mw: 362
13:09	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 212 Start: 30-Nov-2015 13:09 End: 30-Nov-2015 13:30 Notes: Line 1 off load 190MW Last Dispatched Mw: 402
06:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 540 Start: 01-Dec-2015 06:05 End: 01-Dec-2015 06:30 Notes: Planned potline reduction. Line 3. 120MW. Last Dispatched Mw: 660
11:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 518 Start: 01-Dec-2015 11:06 End: 01-Dec-2015 11:30 Notes: Last Dispatched Mw: 700
16:33	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 580 Start: 01-Dec-2015 16:33 End: 01-Dec-2015 17:00 Notes: Last Dispatched Mw: 700
11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 606 Start: 02-Dec-2015 11:05 End: 02-Dec-2015 11:30 Notes: Potline 1 reduction (182 MW). Last Dispatched Mw: 788
16:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 606 Start: 02-Dec-2015 16:05 End: 02-Dec-2015 16:30 Notes: Emergency potline 1 (182 MW). Last Dispatched Mw: 788
11:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 605 Start: 05-Dec-2015 11:06 End: 05-Dec-2015 11:30 Notes: Line 2 offload (183MW) planned for 12:05 Last Dispatched Mw: 788
11:03	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 605 Start: 06-Dec-2015 11:03 End: 06-Dec-2015 11:30 Notes: Line 1 offload 183MW Last Dispatched Mw: 788
04:13	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 668 Start: 10-Dec-2015 04:13 End: 10-Dec-2015 04:30 Notes: Planned potline reduction. Line 2. 120MW. Last Dispatched Mw: 788
04:03	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 ENR Max: 668 Start: 11-Dec-2015 04:03 End: 11-Dec-2015 04:30 Notes: Line 1 reduction 120MW Last Dispatched Mw: 788
17:45	DISCRETION	WHI2201 WHI0 Discretion Clause 13.70, Part 13 EN Min: 20 Start: 11-Dec-2015 17:45 End: 11-Dec-2015 18:00 Notes: To ensure security of supply for the next trading period. Last Dispatched Mw: 39.8
13:03	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 603 Start: 14-Dec-2015 13:03 End: 14-Dec-2015 13:30 Notes: Planned potline. Line 3. 185MW. Last Dispatched Mw: 788
11:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 603 Start: 16-Dec-2015 11:06 End: 16-Dec-2015 11:30 Notes: Line 1 185MW. Last Dispatched Mw: 788
06:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 668 Start: 17-Dec-2015 06:04 End: 17-Dec-2015 06:30 Notes: Planned potline reduction. Line 3. 120MW. Last Dispatched Mw: 788
13:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 602 Start: 17-Dec-2015 13:07 End: 17-Dec-2015 13:30 Notes: Tiwai Aluminium Smelter Reduction Line 3 Offload Last Dispatched Mw: 788

**Discretionary action by the system operator (under clause 13.102 (1) of the Code)**

12:46	DISCRETION	Manually reduced bi-pole transfer from 12:49 to prepare for bi-pole outage at 13:00 via DCN Max. Starting from 285 MW to 160 MW.
11:12	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 603 Start: 20-Dec-2015 11:12 End: 20-Dec-2015 11:30 Notes: Line 2(185MW) Planned to come off at 11:05 Last Dispatched Mw: 788
13:47	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 485 Start: 21-Dec-2015 13:47 End: 21-Dec-2015 13:58 Notes: Partial reduction on Line 2 Last Dispatched Mw: 525
18:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 405 Start: 21-Dec-2015 18:04 End: 21-Dec-2015 18:30 Notes: Line 2 partial (120MW) Planned to come off at 18:05 Last Dispatched Mw: 525
14:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 405 Start: 22-Dec-2015 14:04 End: 22-Dec-2015 14:30 Notes: Planned potline reduction. Line 1. 120 MW. Last Dispatched Mw: 525
16:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 345 Start: 22-Dec-2015 16:05 End: 22-Dec-2015 16:30 Notes: Potline 2 180MW Last Dispatched Mw: 525
11:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 445 Start: 23-Dec-2015 11:06 End: 23-Dec-2015 11:30 Notes: Potline 2 185MW Last Dispatched Mw: 630
11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 448 Start: 24-Dec-2015 11:05 End: 24-Dec-2015 11:30 Notes: Line 1 182 MW Last Dispatched Mw: 630
10:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 510 Start: 25-Dec-2015 10:04 End: 25-Dec-2015 10:30 Notes: Line 1 120 MW Last Dispatched Mw: 630
11:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 442 Start: 25-Dec-2015 11:06 End: 25-Dec-2015 11:30 Notes: Line 3 188 MW Last Dispatched Mw: 630
15:38	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 510 Start: 27-Dec-2015 15:38 End: 27-Dec-2015 16:00 Notes: Planned Line 2 Reduction Last Dispatched Mw: 630
21:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 300 Start: 28-Dec-2015 21:05 End: 28-Dec-2015 21:30 Notes: Planned reduction line 1. 120MW. Last Dispatched Mw: 420
11:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 554 Start: 29-Dec-2015 11:04 End: 29-Dec-2015 11:30 Notes: Potline 2 reduction (181 MW). Last Dispatched Mw: 735
17:01	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 510 Start: 29-Dec-2015 17:01 End: 29-Dec-2015 17:30 Notes: Line 2 reduction (120 MW). Last Dispatched Mw: 630
11:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 605 Start: 30-Dec-2015 11:04 End: 30-Dec-2015 11:30 Notes: Potline 2. 185MW Last Dispatched Mw: 788

This report was published by the market administrator on 26 January 2016. For further inquiries please contact the market administrator at the Electricity Authority or send an email to [marketoperations@ea.govt.nz](mailto:marketoperations@ea.govt.nz).