

# Report on market operation service providers' compliance

# November 2015

This report includes:

- the publication<sup>1</sup> of the sections of the reports that relate to breaches of the Electricity Industry Participation Code (Code) by the pricing manager, received by the market administrator in the previous calendar month
- the republication<sup>2</sup> of the sections of the reports that relate to breaches of the Code by the clearing manager, received by the market administrator in the previous calendar month
- the republication<sup>3</sup> of the sections of the initial report that relate to breaches of the Code by the reconciliation manager, received by the Authority in the current calendar month
- the republication of the sections of the report that relate to breaches of the Code by the FTR manager, received by the Authority during the previous calendar month
- the republication of the sections of the report that relate to breaches of the Code by the registry manager, received by the Authority during the current calendar month
- the publication of any self-reported breaches of the Code by the market administrator for the previous calendar month
- the publication<sup>4</sup> of sections of the reports that relate to breaches of the Code by the system operator and the system operator's discretionary action, received by the market administrator in the previous month.

<sup>&</sup>lt;sup>1</sup> As required by clause 13.214 of the Code

<sup>&</sup>lt;sup>2</sup> As required by clause 14.69 of the Code

<sup>&</sup>lt;sup>3</sup> Clause 15.33 of the Code requires the Authority to publish the sections of the reports that relate to an alleged breach of the Code by the reconciliation manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at http://www.ea.govt.nz/industry/market/statistics-reports/reconciliationmanager-monthly-breach-reports/.

<sup>&</sup>lt;sup>4</sup> As required by clauses 13.102(2) of the Code. Publication of the system operator's discretionary action is not required by the Code.

# Self-reported breaches by the pricing manager (under clause 13.213 of the Code)

There were no self-reported breaches by the pricing manager.

# Self-reported breaches by the clearing manager (under clause 14.74 of the Code)

There were no self-reported breaches by the clearing manager.

Self-reported breaches by the reconciliation manager *(under clause 15.30(1) of the Code)* Note that this is a summary of the reconciliation manager monthly breach report which is published separately

To our knowledge there was one alleged breach by the reconciliation manager. The reconciliation manager missed the deadline of 12:00 for publishing the reconciliation reports for all 4 months wash-ups on the last business day. The reports were published at 13:51. This was breach of clauses 15.21, 15.22 and Schedule 15.4.

Self-reported breaches by the FTR manager (Not required by the Code)

There were no self-reported breaches by the FTR manager.

### Self-reported breaches by the registry manager (Not required by the Code)

There were no self-reported breaches by the registry manager.

### Self-reported breaches by the market administrator

There were no self-reported breaches by the market administrator.

### Self-reported breaches by the system operator (under clause 13.102 (1) of the Code)

There were no self-reported breaches by the system operator.

11:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 440 Start: 30-Sep-2015 11:07 End: 30-Sep-2015 11:30 Notes: Planned Line 3 offload of 190MW. Last Dispatched Mw: 630
11:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 344 Start: 01-Oct-2015 11:04 End: 01-Oct-2015 11:30 Notes: Last Dispatched Mw: 525 Line 2 offload of 181MW at 1105.

Discretionary action by the system operator (under clause 13.102 (1) of the Code) DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 668 Start: 02-Oct-2015 11:05 11:05 End: 02-Oct-2015 11:30 Notes: Line 2(120MW) planned to come off at 11:05. Last Dispatched Mw: 788 13:03 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 538 Start: 02-Oct-2015 13:03 End: 02-Oct-2015 13:30 Notes: Line Potline, 182MW Last Dispatched Mw: 720 09:11 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 557 Start: 05-Oct-2015 09:11 End: 05-Oct-2015 09:30 Notes: Planned potline. Line 1. 181MW. Last Dispatched Mw: 738 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 618 Start: 06-Oct-2015 DISCRETION 20:37 20:37 End: 06-Oct-2015 21:00 Notes: L3 emergency offload -120 MW Last Dispatched Mw: 738 07:22 ARI1101 ARI0 Discretion Clause 13.70, Part 13 ENR Max: 0 Start: 07-Oct-2015 DISCRETION 07:22 End: 07-Oct-2015 07:30 Notes: Generation tripped with tripping of ARI KIN 1 with ARI KIN 2 already on planned outage. Last Dispatched Mw: 64 12:08 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 558 Start: 07-Oct-2015 12:08 End: 07-Oct-2015 12:30 Notes: Line 2 planned offload fo 180MW. Last Dispatched Mw: 738 14:06 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 648 Start: 08-Oct-2015 14:06 End: 08-Oct-2015 14:30 Notes: Planned potline reduction of 110MW for line 3 Last Dispatched Mw: 758 11:04 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 557 Start: 10-Oct-2015 11:04 End: 10-Oct-2015 11:30 Notes: Line 2(181MW) planned to come off at 11:05 Last Dispatched Mw: 738 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 380 Start: 12-Oct-2015 12:08 DISCRETION 12:08 End: 12-Oct-2015 12:30 Notes: TWI L1 offload -120MW Last Dispatched Mw: 500 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 380 Start: 15-Oct-2015 11:07 DISCRETION 11:07 End: 15-Oct-2015 11:30 Notes: Planned offload line 2 of 180MW. Last Dispatched Mw: 560 11:07 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 336 Start: 16-Oct-2015 11:07 End: 16-Oct-2015 11:30 Notes: Line 3 offload 187MW Last Dispatched Mw: 523 14:08 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 334 Start: 16-Oct-2015 14:08 End: 16-Oct-2015 14:30 Notes: Planned potline 1 reduction of 182MW. Last Dispatched Mw: 516 11:02 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 553 Start: 17-Oct-2015 11:02 End: 17-Oct-2015 11:30 Notes: Line 2 (182MW) planned to come off at 11:05 Last Dispatched Mw: 735 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 615 Start: 18-Oct-2015 16:10 DISCRETION 16:10 End: 18-Oct-2015 16:30 Notes: Line 2(120MW) Planned to come off at 16:05 Last Dispatched Mw: 735 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 536 Start: 19-Oct-2015 09:04 DISCRETION 09:04 End: 19-Oct-2015 09:30 Notes: Planned potline. Line 2. 181MW. Last Dispatched Mw: 717 10:35 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 547 Start: 20-Oct-2015 10:35 End: 20-Oct-2015 11:00 Notes: Line 1 off load of 180MW Last Dispatched Mw: 727 11:36 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 607 Start: 20-Oct-2015 11:36 End: 20-Oct-2015 12:00 Notes: Line 1 emergency off load of 120MW Last Dispatched Mw: 727 13:06 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 543 Start: 20-Oct-2015 13:06 End: 20-Oct-2015 13:30 Notes: Line 2 off load of 184MW Last Dispatched Mw: 727

Discretionary action by the system operator (under clause 13.102 (1) of the Code)

13:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 607 Start: 21-Oct-2015 13:06 End: 21-Oct-2015 13:30 Notes: Planned line 3 reduction. Last Dispatched Mw: 727
13:08	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 544 Start: 22-Oct-2015 13:08 End: 22-Oct-2015 13:30 Notes: Potline Line 1 183MW Last Dispatched Mw: 727
11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 547 Start: 24-Oct-2015 11:05 End: 24-Oct-2015 11:30 Notes: Line 2 offload 180MW Last Dispatched Mw: 727
05:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 607 Start: 25-Oct-2015 05:07 End: 25-Oct-2015 05:30 Notes: Planned line 1 reduction Last Dispatched Mw: 727
11:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 546 Start: 25-Oct-2015 11:06 End: 25-Oct-2015 11:30 Notes: Line 2 (181MW) Planned to come off at 11:05 Last Dispatched Mw: 727
16:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 607 Start: 25-Oct-2015 16:07 End: 25-Oct-2015 16:30 Notes: Line 1 reduced load (120MW) planned for 16:05. Last Dispatched Mw: 727
11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 546 Start: 26-Oct-2015 11:05 End: 26-Oct-2015 11:30 Notes: Line 1 (181MW) planned to come off at 11:05. Last Dispatched Mw: 727
04:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 607 Start: 28-Oct-2015 04:06 End: 28-Oct-2015 04:30 Notes: Tiwai Line 3 reduction (120MW) Last Dispatched Mw: 727
14:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 541 Start: 28-Oct-2015 14:07 End: 28-Oct-2015 14:30 Notes: Planned potline. Line 3. 186MW. Last Dispatched Mw: 727
18:36	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 617 Start: 28-Oct-2015 18:36 End: 28-Oct-2015 18:40 Notes: Potline reduction, line 1 (110 MW) Last Dispatched Mw: 727
11:09	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 544 Start: 29-Oct-2015 11:09 End: 29-Oct-2015 11:30 Notes: Line 2 offload 183MW Last Dispatched Mw: 727
05:41	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 607 Start: 30-Oct-2015 05:41 End: 30-Oct-2015 06:00 Notes: Planned potline. Line 1. 120MW. Last Dispatched Mw: 727
12:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 543 Start: 30-Oct-2015 12:05 End: 30-Oct-2015 12:30 Notes: Line 2 (184MW) planned to come off at 12:05. Last Dispatched Mw: 727
13:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 545 Start: 30-Oct-2015 13:06 End: 30-Oct-2015 13:30 Notes: Tiwai aluminium smelter reduction line 1 offload Last Dispatched Mw: 727
22:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 607 Start: 30-Oct-2015 22:06 End: 30-Oct-2015 22:30 Notes: Line 2 off load 120MW Last Dispatched Mw: 727

This report was published by the market administrator on 20 November 2015. For further inquiries please contact the market administrator at the Electricity Authority or send an email to <u>marketoperations@ea.govt.nz</u>.