

Report on market operation service providers' compliance

October 2015

This report includes:

- the publication¹ of the sections of the reports that relate to breaches of the Electricity Industry Participation Code (Code) by the pricing manager, received by the market administrator in the previous calendar month
- the republication² of the sections of the reports that relate to breaches of the Code by the clearing manager, received by the market administrator in the previous calendar month
- the republication³ of the sections of the initial report that relate to breaches of the Code by the reconciliation manager, received by the Authority in the current calendar month
- the republication of the sections of the report that relate to breaches of the Code by the FTR manager, received by the Authority during the previous calendar month
- the republication of the sections of the report that relate to breaches of the Code by the registry manager, received by the Authority during the current calendar month
- the publication of any self-reported breaches of the Code by the market administrator for the previous calendar month
- the publication⁴ of sections of the reports that relate to breaches of the Code by the system operator and the system operator's discretionary action, received by the market administrator in the previous month.

¹ As required by clause 13.214 of the Code

² As required by clause 14.69 of the Code

³ Clause 15.33 of the Code requires the Authority to publish the sections of the reports that relate to an alleged breach of the Code by the reconciliation manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at <http://www.ea.govt.nz/industry/market/statistics-reports/reconciliation-manager-monthly-breach-reports/>.

⁴ As required by clauses 13.102(2) of the Code. Publication of the system operator's discretionary action is not required by the Code.

Self-reported breaches by the pricing manager (under clause 13.213 of the Code)

There were no self-reported breaches by the pricing manager.

Self-reported breaches by the clearing manager (under clause 14.74 of the Code)

There were no self-reported breaches by the clearing manager.

Self-reported breaches by the reconciliation manager (under clause 15.30(1) of the Code)

Note that this is a summary of the reconciliation manager monthly breach report which is published separately

There were no self-reported breaches by the reconciliation manager.

Self-reported breaches by the FTR manager (Not required by the Code)

There were no self-reported breaches by the FTR manager.

Self-reported breaches by the registry manager (Not required by the Code)

There were no self-reported breaches by the registry manager.

Self-reported breaches by the market administrator

There were no self-reported breaches by the market administrator.

Self-reported breaches by the system operator (under clause 13.102 (1) of the Code)

Date of breach (dd/mm/yy)	Time of breach (hh:mm)	Rule breached	Nature of breach	Reason for breach
October 2014 - February 2015	12:00	Part 13 - 13.69A	Party: Transpower Transpower failed to the prepare the dispatch schedule in accordance with the methodology set out in Schedule 13.3 Our Ref: EGR 3051	
June 2013 - February 2015	12:00	Part 8 - 8.68 (2)	Party: Transpower Transpower failed to provide the clearing manager with the correct allocable costs for each ancillary service Our Ref: EGR 3069	

Self-reported breaches by the system operator (under clause 13.102 (1) of the Code)

May 2014 - March 2015	12:00	Part 8 - 8.68 (2)	Party: Transpower Transpower failed to provide the clearing manager with the correct allocable costs for each ancillary service Our Ref: EGR 3071	
13 May 2015	12:00	Part 8 - 8.70 (1)	Party: Transpower Transpower failed to provide the clearing manager with the correct allocable costs for each ancillary service Our Ref: EGR 3104	
7 May 2015	12:00	Part 8 - 8.69 (2)	Party: Transpower Transpower failed to provide the Clearing Manager with the correct allocable costs for an ancillary service to allow the Clearing Manager to carry out a wash-up Our Ref: EGR 3105	
14 May 2015 to 7 August 2015	12:00	Part 13 - 13.58A(1)(e)(i) and 13.58A(2)(d)(i)	Party: Transpower Transpower failed to prepare a price responsive schedule using information provided about the AC transmission system configuration, capacity and losses Our Ref: EGR 3127	
27 May 2015	16:30-17:30	Part 13 - 13.69A	Party: Transpower Transpower failed to the prepare the dispatch schedule in accordance with the methodology set out in Schedule 13.3 Our Ref: EGR 3094	

Discretionary action by the system operator (under clause 13.102 (1) of the Code)

11:03	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 419 Start: 31-Aug-2015 11:03 End: 31-Aug-2015 11:30 Notes: Line 2 181MW @ 11:05 Last Dispatched Mw: 600
12:41	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 510 Start: 04-Sep-2015 12:41 End: 04-Sep-2015 13:00 Notes: Tiwai Aluminium Smelter Reduction Line 1 partial offload Last Dispatched Mw: 630
17:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 300 Start: 05-Sep-2015 17:07 End: 05-Sep-2015 17:30 Notes: Planned line 2 reduction. Last Dispatched Mw: 420
11:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 447 Start: 07-Sep-2015 11:07 End: 07-Sep-2015 11:30 Notes: Line 1 Potline Last Dispatched Mw: 630
10:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 510 Start: 09-Sep-2015 10:05 End: 09-Sep-2015 10:30 Notes: TWI reduction line 3 120MW. Last Dispatched Mw: 630
11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 343 Start: 09-Sep-2015 11:05 End: 09-Sep-2015 11:30 Notes: TWI Reduction line 2 182MW. Last Dispatched Mw: 525

Discretionary action by the system operator (under clause 13.102 (1) of the Code)

11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 ENR Max: 340 Start: 11-Sep-2015 11:05 End: 11-Sep-2015 11:30 Notes: Tiwai Aluminium Smelter Reduction Line 1 offload Last Dispatched Mw: 525
12:08	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 370 Start: 11-Sep-2015 12:08 End: 11-Sep-2015 12:30 Notes: Tiwai Aluminium Smelter Reduction Line 2 partial offload Last Dispatched Mw: 503
10:35	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 200 Start: 13-Sep-2015 10:35 End: 13-Sep-2015 11:00 Notes: Planned line 1 reduction. Last Dispatched Mw: 320
11:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 140 Start: 13-Sep-2015 11:06 End: 13-Sep-2015 11:30 Notes: Planned Line 2 offload Last Dispatched Mw: 200
11:07	DISCRETION	BEN2202 BEN0 Discretion Clause 13.70, Part 13 EN Max: 340 Start: 13-Sep-2015 11:07 End: 13-Sep-2015 11:30 Notes: Planned Line 2 offload Last Dispatched Mw: 462
11:09	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 172 Start: 16-Sep-2015 11:09 End: 16-Sep-2015 11:30 Notes: Planned TWI line 3 reduction of 188MW. Last Dispatched Mw: 324
16:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 510 Start: 16-Sep-2015 16:05 End: 16-Sep-2015 16:30 Notes: Planned line 2 reduction of 120MW. Last Dispatched Mw: 630
03:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 160 Start: 17-Sep-2015 03:07 End: 17-Sep-2015 03:30 Notes: Planned line 1 offload Last Dispatched Mw: 210
03:07	DISCRETION	BEN2202 BEN0 Discretion Clause 13.70, Part 13 EN Max: 72 Start: 17-Sep-2015 03:07 End: 17-Sep-2015 03:30 Notes: Planned line 1 offload Last Dispatched Mw: 212
13:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 238 Start: 17-Sep-2015 13:05 End: 17-Sep-2015 13:30 Notes: TWI L2 offload -182 MW Last Dispatched Mw: 420
15:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 300 Start: 17-Sep-2015 15:04 End: 17-Sep-2015 15:30 Notes: TWI Potline reduction, Line 2 (120 MW) Last Dispatched Mw: 420
11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 240 Start: 18-Sep-2015 11:05 End: 18-Sep-2015 11:30 Notes: Potline 180MW Last Dispatched Mw: 420
14:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 475 Start: 22-Sep-2015 14:04 End: 22-Sep-2015 14:30 Notes: Line 2 planned offload, 185MW. Last Dispatched Mw: 660
04:35	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 300 Start: 23-Sep-2015 04:35 End: 23-Sep-2015 04:45 Notes: Line 3 120MW Last Dispatched Mw: 420
11:08	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 335 Start: 26-Sep-2015 11:08 End: 26-Sep-2015 11:30 Notes: Potline 3 , 190MW Last Dispatched Mw: 525
23:33	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 405 Start: 26-Sep-2015 23:33 End: 27-Sep-2015 00:00 Notes: TWI Line 2 Partial Offload. Last Dispatched Mw: 525
11:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 345 Start: 27-Sep-2015 11:06 End: 27-Sep-2015 11:30 Notes: Tiwai Aluminium Smelter Reduction line 2 offload Last Dispatched Mw: 525
22:37	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 405 Start: 27-Sep-2015 22:37 End: 27-Sep-2015 23:00 Notes: Line 1 reduction. Last Dispatched Mw: 525
04:33	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 300 Start: 28-Sep-2015 04:33 End: 28-Sep-2015 05:00 Notes: Potline 1, 120mw Last Dispatched Mw: 420

Discretionary action by the system operator (*under clause 13.102 (1) of the Code*)

11:05 DISCRETION MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 450 Start: 28-Sep-2015
11:05 End: 28-Sep-2015 11:30 Notes: Tiwai Aluminium Smelter Reduction Line 1
offload Last Dispatched Mw: 630

This report was published by the market administrator on 21 October 2015. For further inquiries please contact the market administrator at the Electricity Authority or send an email to marketoperations@ea.govt.nz.