

Report on Market Operation Service Providers' Compliance

June 2014

This report includes:

- The publication of the sections of the reports of the Pricing Manager, received in the previous month that relate to breaches of the Electricity Industry Participation Code (Code) by the Pricing Manager, as required by clause 13.214 of the Code
- the publication of the sections of the reports of the Clearing Manager, received in the previous month and to align with this report the current month that relate to breaches of the Code by the Clearing Manager, as required by clause 14.75 of the Code
- the republication of the sections of any reports of the Reconciliation Manager, received in the previous month that relate to breaches of the Code by the Reconciliation Manager under clause 15.33 of the Code¹
- the republication of the sections of any reports of the FTR Manager, received in the previous month that relate to breaches of the Code by the FTR Manager
- any self-reported breaches of the Code by the Registry Manager for the previous calendar month
- any self-reported breaches of the Code by the Market Administrator for the previous calendar month²
- the publication of sections of the reports of the System Operator, received in the previous month that relate to breaches of the Code by the System Operator, as required by clause 13.102(2) of the Code, and the System Operator's discretionary action as required by clause 13.102(1)(c).

¹ Under clause 15.33 of the Code, the Authority must publish the sections of the reports that relate to an alleged breach of the Code by the Reconciliation Manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at http://www.ea.govt.nz/industry/market/statistics-reports/reconciliationmanager-monthly-breach-reports/

² The Code does not require the Market Administrator to publish any self-reported breaches of the Code by the Market Administrator. However, the Market Administrator has chosen to do so.

Self-reported breaches by the Pricing Manager (under clause 13.213 of the Code)

There were no self-reported breaches by the Pricing Manager.

Self-reported breaches by the Clearing Manager (under clause 14.74 of the Code)

| Clearing Manager breaches of Electricity Industry Participation Code 2010: | | | | | |
|--|--------------------|---|--|------------------------------|--|
| Date of breach | Clause breached | Nature of breach | Reason for breach | Late invoice issue? (Y/N) | |
| 13/05/2014 | 14.40(fa) | FTR acquisition costs on April 2014 invoices were incorrect by a relatively small margin as day light savings was not fully taken into account. | Incorrect processing due to analyst error. | N | |

Self-reported breaches by the Reconciliation Manager *(under clause 15.30(1) of the Code)* Note that this is a summary of the reconciliation manager monthly breach report which is published separately

There were no self-reported breaches by the Reconciliation Manager.

Self-reported breaches by the FTR Manager (Not required by the Code)

There were no self-reported breaches by the FTR Manager.

Self-reported breaches by the Registry Manager (Not required by the Code)

There were no self reported breaches by the Registry Manager.

Self-reported breaches by the Market Administrator

There were no self reported breaches by the Market Administrator.

Self-reported breaches by the System Operator (under clause 13.102 (2) of the Code)

There were no self-reported breaches by the System Operator.

Discretionary action by the System Operator (under clause 13.102 (1) of the Code)

| Time | Nature Of | Reason for Divergence | |
|-------|---------------------------------|---|--|
| 02:46 | Divergence DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 296 Start: 01-Jun-2014 02:46 End: 01-Jun-2014 03:00 Notes: emergency potline 2 | |
| 13:04 | DISCRETION | Last Dispatched Mw: 476 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 415 Start: 02-Jun-2014 13:04 End: 02-Jun-2014 13:30 Notes: Potline 1 off at 13:05 180MW Last Dispatched Mw: 595 | |
| 11:06 | DISCRETION | MAN2201 MANO Discretion Clause 13.70, Part 13 EN Max : 405 Start: 04-Jun-2014 11:06 End: 04-Jun-2014 11:30 Notes: Tiwai Aluminium | |
| 11:05 | DISCRETION | Smelter Line 3 reduction. Last Dispatched Mw: 595 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 409 Start: 06-Jun-2014 11:05 End: 06-Jun-2014 11:30 Notes: Planned line 2 offload. | |
| 11:04 | DISCRETION | Last Dispatched Mw: 595 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 415 Start: 07-Jun-2014 11:04 End: 07-Jun-2014 11:30 Notes: Planned line 1 offload. | |
| 09:04 | DISCRETION | Last Dispatched Mw: 595 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 266 Start: 09-Jun-2014 09:04 End: 09-Jun-2014 09:30 Notes: Line 1 POTLINE 185MW Last Dispatched Mw: 451 | |
| 11:00 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 288 Start: 09-Jun-2014 11:00 End: 09-Jun-2014 11:30 Notes: Planned offload line 3, | |
| 17:52 | DISCRETION | 188MW. Last Dispatched Mw: 476 SFD2201 SFD21 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 11- Jun-2014 17:52 End: 11-Jun-2014 18:00 Notes: Rule 13.82a claimed. Last | |
| 11:06 | DISCRETION | Dispatched Mw: 2.53 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 295 Start: 12-Jun-2014 11:06 End: 12-Jun-2014 11:30 Notes: Tiwai Aluminium | |
| 09:05 | DISCRETION | Smelter Line 2 reduction. Last Dispatched Mw: 476 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 296 Start: 13-Jun-2014 09:05 End: 13-Jun-2014 09:30 Notes: NAS Tiwai Line 1 | |
| 11:34 | DISCRETION | offload Last Dispatched Mw: 476 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 396 Start: 14-Jun-2014 11:34 End: 14-Jun-2014 12:00 Notes: TWI Line #3 off load | |
| 23:05 | DISCRETION | 80MW Last Dispatched Mw: 476 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 356 Start: 14-Jun-2014 23:05 End: 14-Jun-2014 23:30 Notes: TWI Line #3 off load | |
| 06:03 | DISCRETION | 120 MW Last Dispatched Mw: 476 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 291 Start: 15-Jun-2014 06:03 End: 15-Jun-2014 06:30 Notes: TWI Line 1 off load | |
| 11:00 | DISCRETION | 185 MW Last Dispatched Mw: 476 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 310 Start: 17-Jun-2014 11:00 End: 17-Jun-2014 11:30 Notes: Line 1 Potline 166MW | |
| 13:05 | DISCRETION | Last Dispatched Mw: 476 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 281 Start: 17-Jun-2014 13:05 End: 17-Jun-2014 13:30 Notes: Tiwai line 2 offload | |
| 15:05 | DISCRETION | Last Dispatched Mw: 476 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 281 Start: | |

| 1 | | |
|-------|------------|---|
| | | 17-Jun-2014 15:05 End: 17-Jun-2014 15:30 Notes: Tiwai line 1 offload |
| 11.00 | DIGODETION | Last Dispatched Mw: 476 |
| 11:08 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 296 Start: |
| | | 19-Jun-2014 11:08 End: 19-Jun-2014 11:30 Notes: Line 1 180MW |
| | | reduction. Last Dispatched Mw: 476 |
| 15:04 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 366 Start: |
| | | 19-Jun-2014 15:04 End: 19-Jun-2014 15:30 Notes: Potline3 reduction of |
| | | 110MW Last Dispatched Mw: 476 |
| 18:34 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 356 Start: |
| | | 20-Jun-2014 18:34 End: 20-Jun-2014 19:00 Notes: Last Dispatched Mw: |
| | | 476 |
| 11:04 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 410 Start: |
| | | 21-Jun-2014 11:04 End: 21-Jun-2014 11:30 Notes: Tiwai Aluminium |
| | | Smelter Line 3 Reduction Last Dispatched Mw: 595 |
| 17:31 | DISCRETION | SWN2201 SWN5 Discretion Clause 13.70, Part 13 EN Min : 10 Start: 23- |
| | | Jun-2014 17:31 End: 23-Jun-2014 18:00 Notes: Required for security over |
| . – | | the evening peak with a negative SRC. Last Dispatched Mw: 35 |
| 17:34 | DISCRETION | SWN2201 SWN5 Discretion Clause 13.70, Part 13 EN Min : 30 Start: 23- |
| | | Jun-2014 17:34 End: 23-Jun-2014 18:00 Notes: Required for security over |
| | | the evening peak with a negative SRC. MRG trader claimed rule 13.82a |
| | | with a minimum of 30MW. Last Dispatched Mw: 10 |
| 11:05 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13. EN Max: 390 Start: |
| | | 26-jun-2014 11:05 End: 26-jun-2014 11:30 Notes: TWI Line 2 180MW |
| | | load reduction. Last Dispatched MW: 570 |
| 18:12 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 415 Start: |
| | | 26-Jun-2014 18:12 End: 26-Jun-2014 18:30 Notes: Line 1 180MW load |
| | | reduction. Last Dispatched Mw: 595 |
| 11:05 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 415 Start: |
| | | 27-Jun-2014 11:05 End: 27-Jun-2014 11:30 Notes: TWI line 1 (180MW). |
| 10.04 | DIGODETION | Last Dispatched Mw: 595 |
| 18:34 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 475 Start: |
| | | 27-Jun-2014 18:34 End: 27-Jun-2014 19:00 Notes: Line 2 offload 120MW |
| | DIGODETION | Last Dispatched Mw: 595 |
| 23:32 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 487 Start: |
| | | 28-Jun-2014 23:32 End: 29-Jun-2014 00:00 Notes: Last Dispatched Mw: |
| | | 595 |
| 04:00 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 405 Start: |
| | | 30-Jun-2014 04:00 End: 30-Jun-2014 04:30 Notes: Potline 1 120MW Last |
| 11.01 | DIGODERION | Dispatched Mw: 525 |
| 11:01 | DISCRETION | MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 408 Start: |
| | | 30-Jun-2014 11:01 End: 30-Jun-2014 11:30 Notes: Potline #3 planned |
| | | offload 187MW @ 11:05. Last Dispatched Mw: 595 |
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This report was published by the Market Administrator on 21 July 2014. For further inquiries please contact the Market Administrator at the Electricity Authority or send an email to <u>marketoperations@ea.govt.nz</u>.