

Report on Market Operation Service Providers' Compliance

July 2012

This report includes:

- the publication of the sections of the reports of the Pricing Manager, received in the previous month that relate to breaches of the Electricity Industry Participation Code (Code) by the Pricing Manager, as required by clause 13.214 of the Code;
- the publication of the sections of the reports of the Clearing Manager, received in the previous month that relate to breaches of the Code by the Clearing Manager, as required by clause 14.75 of the Code;
- the republication of the sections of any reports of the Reconciliation Manager, received in the previous month that relate to breaches of the Code by the Reconciliation Manager;¹ and
- any self-reported breaches of the Code by the Market Administrator for the previous calendar month²; and
- the publication of sections of the reports of the System Operator, received in the previous month that relate to breaches of the Code by the System Operator, as required by clause 13.102(2) of the Code, and the System Operator's discretionary action as required by clause 13.102(1)(c).

¹ Under clause 15.33 of the Code, the Authority must publish the sections of the reports that relate to an alleged breach of the Code by the Reconciliation Manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at <http://www.ea.govt.nz/industry/market/statistics-reports/reconciliation-manager-monthly-breach-reports/>

² The Code does not require the Market Administrator to publish any self-reported breaches of the Code by the Market Administrator. However, the Market Administrator has chosen to do so.

Self reported breaches by the Pricing Manager (under clause 13.213 of the Code)

There were no self reported breaches by the Pricing Manager.

Self reported breaches by the Clearing Manager (under clause 14.74 of the Code)

Date of breach	Rule breached	Nature breached	Reasons for breach	Late invoice issue? (Y/N)
3 July 2012	14.10(1)	The Clearing Manager did not refund, as requested, interest earned on a prudential cash security account for a participant within the timelines provided for in Clause 14.10 of the Code.	This was due to an analyst error during the processing of bank instructions concerned with the withdrawal of funds.	N

Self-reported breaches by the Reconciliation Manager (under clause 15.30(1) of the Code)

Note that this is a summary of the reconciliation manager monthly breach report which is published separately

There were no self-reported breaches by the Reconciliation Manager.

Self reported breaches by the Registry Manager (Not required by the Code)

There were no self reported breaches by the Registry Manager.

Self reported breaches by the Market Administrator

The Market Administrator did not fully comply with clause 7 of Schedule 11.2.

Self-reported breaches by the System Operator (under clause 13.102 (2) of the Code)

11/03/2012	19:30	Part 13 Schedule 13.3 7(g)	The System Operator failed to use information from the Grid Owner regarding transformer configuration Our Ref: EGR 1990
15/03/2012	11:30	Part 13 Schedule 13.3 7(g)	The System Operator failed to include the correct information provided by the Grid Owner for the AC transmission system configuration. Our Ref: EGR 2004

Discretionary action by the System Operator (under clause 13.102 (1) of the Code)

Time	Nature Of Divergence	Reason for Divergence
18:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 520 Start: 01-Jul-2012 18:05 End: 01-Jul-2012 18:30 Notes: Partial Line

00:37	DISCRETION	1 Reduction. Last Dispatched Mw: 630 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 357 Start: 02-Jul-2012 00:37 End: 02-Jul-2012 01:00 Notes: Potline 1, 168MW Last Dispatched Mw: 525
11:33	DISCRETION	SWN2201 SWN5 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 02-Jul-2012 11:33 End: 02-Jul-2012 12:00 Notes: Rule 13.82a claimed as min is 30mW. SWN5 is not required for security. Last Dispatched Mw: 22.39
12:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 461 Start: 02-Jul-2012 12:03 End: 02-Jul-2012 12:30 Notes: Line 1 (169MW), off load Last Dispatched Mw: 630
12:11	DISCRETION	SWN2201 SWN5 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 02-Jul-2012 12:11 End: 02-Jul-2012 12:30 Notes: Rule 13.82a claimed as min is 30mW. SWN5 is not required for security. Last Dispatched Mw: 10.89
13:00	DISCRETION	SWN2201 SWN5 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 02-Jul-2012 13:00 End: 02-Jul-2012 13:30 Notes: Rule 13.82a claimed as min is 30mW. SWN5 is not required for security Last Dispatched Mw: 1.21
10:03	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 520 Start: 04-Jul-2012 10:03 End: 04-Jul-2012 10:30 Notes: Last Dispatched Mw: 630
00:14	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 310 Start: 06-Jul-2012 00:14 End: 06-Jul-2012 00:30 Notes: planned potline line 1 (110MW) Last Dispatched Mw: 420
05:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 148 Start: 07-Jul-2012 05:06 End: 07-Jul-2012 05:30 Notes: Potline 2. Last Dispatched Mw: 315
01:56	DISCRETION	HLY2201 HLY5 Discretion Clause 13.70, Part 13 ENR Min : 210 Start: 17-Jul-2012 01:56 End: 17-Jul-2012 06:00 Notes: Rule Exemption: Part 13, Subpart 2, Clause 13.82 (a) Last Dispatched Mw: 186.53
10:53	DISCRETION	OTA2202 OTC0 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 17-Jul-2012 10:53 End: 17-Jul-2012 11:00 Notes: OTC tripped. Last Dispatched Mw: 165
17:37	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 415 Start: 17-Jul-2012 17:37 End: 17-Jul-2012 18:00 Notes: Line 3 at TWI Last Dispatched Mw: 525
05:38	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 250 Start: 18-Jul-2012 05:38 End: 18-Jul-2012 06:00 Notes: Potline 1, 171MW. Last Dispatched Mw: 420
21:40	DISCRETION	RPO2201 RPO0 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 21-Jul-2012 22:00 End: 21-Jul-2012 23:00 Notes: Delay in switching RPO_TNG. Last Dispatched Mw: 0
14:30	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 560 Start: 29-Jul-2012 14:30 End: 29-Jul-2012 15:30 Notes: TWI line 1 potline unknown MW. Last Dispatched Mw: 630
14:31	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 530 Start: 29-Jul-2012 14:31 End: 29-Jul-2012 15:30 Notes: TWI line 1 potline unknown MW. Last Dispatched Mw: 630
14:32	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 510 Start: 29-Jul-2012 14:32 End: 29-Jul-2012 15:30 Notes: TWI line 1 potline unknown MW. Last Dispatched Mw: 630
14:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 460 Start: 30-Jul-2012 14:04 End: 30-Jul-2012 14:30 Notes: Potline 1, 169MW. Last Dispatched Mw: 630
05:00	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 235 Start: 31-Jul-2012 05:00 End: 31-Jul-2012 05:30 Notes: Potline #3 planned offload 185 MW. Last Dispatched Mw: 420

This report was published by the Market Administrator on 20/08/12. For further inquiries please contact the Market Administrator at the Electricity Authority or send an email to marketoperations@ea.govt.nz.