

## Report on Market Operation Service Providers' Compliance

**June 2012**

This report includes:

- the publication of the sections of the reports of the Pricing Manager, received in the previous month that relate to breaches of the Electricity Industry Participation Code (Code) by the Pricing Manager, as required by clause 13.214 of the Code;
- the publication of the sections of the reports of the Clearing Manager, received in the previous month that relate to breaches of the Code by the Clearing Manager, as required by clause 14.75 of the Code;
- the republication of the sections of any reports of the Reconciliation Manager, received in the previous month that relate to breaches of the Code by the Reconciliation Manager;<sup>1</sup> and
- any self-reported breaches of the Code by the Market Administrator for the previous calendar month<sup>2</sup>; and
- the publication of sections of the reports of the System Operator, received in the previous month that relate to breaches of the Code by the System Operator, as required by clause 13.102(2) of the Code, and the System Operator's discretionary action as required by clause 13.102(1)(c).

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<sup>1</sup> Under clause 15.33 of the Code, the Authority must publish the sections of the reports that relate to an alleged breach of the Code by the Reconciliation Manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at <http://www.ea.govt.nz/industry/market/statistics-reports/reconciliation-manager-monthly-breach-reports/>

<sup>2</sup> The Code does not require the Market Administrator to publish any self-reported breaches of the Code by the Market Administrator. However, the Market Administrator has chosen to do so.

**Self reported breaches by the Pricing Manager (under clause 13.213 of the Code)**

There were no self reported breaches by the Pricing Manager.

**Self reported breaches by the Clearing Manager (under clause 14.74 of the Code)**

Date of breach	Time of breach	Clause breached	Nature of breach
22 June 2012	n/a	14.17(1)	The Clearing Manager did not return excess prudential cash, as requested by a participant, within the time frames provided for in Clause 14.14 and 14.17 of the Code.

**Self-reported breaches by the Reconciliation Manager (under clause 15.30(1) of the Code)**

Note that this is a summary of the reconciliation manager monthly breach report which is published separately

There were no self-reported breaches by the Reconciliation Manager.

**Self reported breaches by the Registry Manager (Not required by the Code)**

There were no self reported breaches by the Registry Manager.

**Self reported breaches by the Market Administrator**

There were no self reported breaches by the Market Administrator.

**Self-reported breaches by the System Operator (under clause 13.102 (2) of the Code)**

Date of breach	Time of breach	Rule breached	Nature of breach
20/3/2012	07:13	Policy Statement - 89.3	System Operator failed to adjust dispatch schedule to include changes notified by generators.  Our Ref: EGR 1999
22/04/2012	01:00	Part 13 13 .62 (1)(d)	System Operator failed to ensure no more than 2 hours elapse between commencement of preparation of pre-dispatch schedule  Our Ref: EGR 2026

**Discretionary action by the System Operator (under clause 13.102 (1) of the Code)**

<b>Time</b>	<b>Nature Of Divergence</b>	<b>Reason for Divergence</b>
12:23	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 500 Start: 31-May-2012 12:23 End: 31-May-2012 12:40 Notes: Potline reduction Line 3 120 MW Urgent Last Dispatched Mw: 622
17:01	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 412 Start: 01-Jun-2012 17:01 End: 01-Jun-2012 17:30 Notes: Potline 1 reduction 168 MW Last Dispatched Mw: 580
05:38	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 235 Start: 02-Jun-2012 05:38 End: 02-Jun-2012 06:00 Notes: Last Dispatched Mw: 413
11:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 448 Start: 02-Jun-2012 11:04 End: 02-Jun-2012 11:30 Notes: Potline 3, 100MW Last Dispatched Mw: 548
14:35	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 137 Start: 02-Jun-2012 14:35 End: 02-Jun-2012 15:00 Notes: Potline 3 185MW. Last Dispatched Mw: 322
02:04	DISCRETION	HLY2201 HLY5 Discretion Clause 13.70, Part 13 EN Min : 234 Start: 03-Jun-2012 02:04 End: 03-Jun-2012 05:30 Notes: Rule 13.82a claimed by trader during overnight 0cent pricing Last Dispatched Mw: 189.81
02:05	DISCRETION	OTA2202 OTC0 Discretion Clause 13.70, Part 13 EN Min : 222 Start: 03-Jun-2012 02:05 End: 03-Jun-2012 05:30 Notes: Rule 13.82a claimed by trader for plant safety Last Dispatched Mw: 189.81
17:13	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 366 Start: 04-Jun-2012 17:13 End: 04-Jun-2012 17:30 Notes: Potline 1, 169MW. Last Dispatched Mw: 536.47
18:10	DISCRETION	SFD2201 SFD22 Discretion Clause 13.70, Part 13 EN Max : 0 Start: 04-Jun-2012 18:10 End: 04-Jun-2012 18:30 Notes: Claiming Rule 13.82a. Last Dispatched Mw: 1.84
00:40	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 135 Start: 05-Jun-2012 00:40 End: 05-Jun-2012 01:30 Notes: Potline 3 Last Dispatched Mw: 235
00:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 258 Start: 07-Jun-2012 00:04 End: 07-Jun-2012 00:30 Notes: Potline 3 Reduction 183 MW Last Dispatched Mw: 441
23:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 304 Start: 08-Jun-2012 23:07 End: 08-Jun-2012 23:30 Notes: Line 3 offload 183Mw Last Dispatched Mw: 487.01

05:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 340 Start: 11-Jun-2012 05:05 End: 11-Jun-2012 05:30 Notes: Discretion applied for TWI Line 3 offload of 185 MW. Last Dispatched Mw: 523
03:02	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 545 Start: 15-Jun-2012 03:02 End: 15-Jun-2012 03:30 Notes: Potline 3, 120MW. Last Dispatched Mw: 665
16:02	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 439 Start: 15-Jun-2012 16:02 End: 15-Jun-2012 17:00 Notes: Last Dispatched Mw: 630 TWI Potline reduction Line 1.
21:33	DISCRETION	SWN2201 SWN5 Discretion Clause 13.70, Part 13 EN Max : 0 Start: 15-Jun-2012 21:33 End: 15-Jun-2012 22:00 Notes: Claimed rule 13.82a. Last Dispatched Mw: 10.59
14:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 310 Start: 19-Jun-2012 14:06 End: 19-Jun-2012 14:30 Notes: Potline 2 Last Dispatched Mw: 420
15:37	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 300 Start: 19-Jun-2012 15:37 End: 19-Jun-2012 16:00 Notes: Potline 1 Last Dispatched Mw: 420
17:30	DISCRETION	HWA1102 WAA1 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 19-Jun-2012 17:30 End: 19-Jun-2012 18:00 Notes: HWA 62 & 82 open. Last Dispatched Mw: 6.67
17:33	DISCRETION	HWA1102 WAA0 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 19-Jun-2012 17:33 End: 19-Jun-2012 18:00 Notes: HWA 62 & 82 open. Last Dispatched Mw: 0
05:07	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 327 Start: 21-Jun-2012 05:07 End: 21-Jun-2012 05:30 Notes: Potline 3 Last Dispatched Mw: 514
15:02	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 357 Start: 21-Jun-2012 15:02 End: 21-Jun-2012 15:30 Notes: Potline 1 (168MW) Last Dispatched Mw: 525
18:39	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 510 Start: 21-Jun-2012 18:39 End: 21-Jun-2012 19:00 Notes: Planned potline 2 of 120MW. Last Dispatched Mw: 630
14:37	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 444 Start: 22-Jun-2012 14:37 End: 22-Jun-2012 15:00 Notes: Line 3 reduction Last Dispatched Mw: 630
12:00	DISCRETION	KPU0661 Discretion Clause 13.70, Part 13 FIR Max : Start: 25-Jun-2012 12:00 End: 25-Jun-2012 12:30 Notes: Last Dispatched: IntF: NULL IntS: 1.5
18:31	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 510 Start: 28-Jun-2012 18:31 End: 28-Jun-2012 19:00 Notes: Last Dispatched Mw: 630

05:06	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 340 Start: 29-Jun-2012 05:06 End: 29-Jun-2012 05:30 Notes: Potline 3 Last Dispatched Mw: 525
17:10	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 520 Start: 29-Jun-2012 17:10 End: 29-Jun-2012 17:30 Notes: Potline 1, 110MW. Last Dispatched Mw: 630

This report was published by the Market Administrator on 20 July 2012. For further inquiries please contact the Market Administrator at the Electricity Authority or send an email to [marketoperations@ea.govt.nz](mailto:marketoperations@ea.govt.nz).