

## Report on Market Operation Service Providers' Compliance

**April 2012**

This report includes:

- the publication of the sections of the reports of the Pricing Manager, received in the previous month that relate to breaches of the Electricity Industry Participation Code (Code) by the Pricing Manager, as required by clause 13.214 of the Code;
- the publication of the sections of the reports of the Clearing Manager, received in the previous month that relate to breaches of the Code by the Clearing Manager, as required by clause 14.75 of the Code;
- the republication of the sections of any reports of the Reconciliation Manager, received in the previous month that relate to breaches of the Code by the Reconciliation Manager;<sup>1</sup> and
- any self-reported breaches of the Code by the Market Administrator for the previous calendar month<sup>2</sup>; and
- the publication of sections of the reports of the System Operator, received in the previous month that relate to breaches of the Code by the System Operator, as required by clause 13.102(2) of the Code, and the System Operator's discretionary action as required by clause 13.102(1)(c).

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<sup>1</sup> Under clause 15.33 of the Code, the Authority must publish the sections of the reports that relate to an alleged breach of the Code by the Reconciliation Manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at <http://www.ea.govt.nz/industry/markets/statistics-reports/reconciliation-manager-breach-reports/>

<sup>2</sup> The Code does not require the Market Administrator to publish any self-reported breaches of the Code by the Market Administrator. However, the Market Administrator has chosen to do so.

**Self reported breaches by the Pricing Manager (under clause 13.213 of the Code)**

1 April 2012. 10.25am  
Provisional prices published without using the latest information as of 10:00 am (Code Clause 13.151).

**Self reported breaches by the Clearing Manager (under clause 14.74 of the Code)**

There were no self reported breaches by the Clearing Manager.

**Self-reported breaches by the Reconciliation Manager (under clause 15.30(1) of the Code)**  
Note that this is a summary of the reconciliation manager monthly breach report which is published separately

There were no self-reported breaches by the Reconciliation Manager.

**Self reported breaches by the Registry Manager (Not required by the Code)**

There were no self reported breaches by the Registry Manager.

**Self reported breaches by the Market Administrator**

There were no self reported breaches by the Market Administrator.

**Self-reported breaches by the System Operator (under clause 13.102 (2) of the Code)**

There were no self-reported breaches by the System Operator.

**Discretionary action by the System Operator (under clause 13.102 (1) of the Code)**

There was no discretionary action by the System Operator.

This report was published by the Market Administrator on 17 May 2012. For further inquiries please contact the Market Administrator at the Electricity Authority or send an email to [marketoperations@ea.govt.nz](mailto:marketoperations@ea.govt.nz).