

Report on Market Operation Service Providers' Compliance

March 2012

This report includes:

- the publication of the sections of the reports of the Pricing Manager, received in the previous month that relate to breaches of the Electricity Industry Participation Code (Code) by the Pricing Manager, as required by clause 13.214 of the Code;
- the publication of the sections of the reports of the Clearing Manager, received in the previous month that relate to breaches of the Code by the Clearing Manager, as required by clause 14.75 of the Code;
- the republication of the sections of any reports of the Reconciliation Manager, received in the previous month that relate to breaches of the Code by the Reconciliation Manager;¹ and
- any self-reported breaches of the Code by the Market Administrator for the previous calendar month²; and
- the publication of sections of the reports of the System Operator, received in the previous month that relate to breaches of the Code by the System Operator, as required by clause 13.102(2) of the Code, and the System Operator's discretionary action as required by clause 13.102(1)(c).

¹ Under clause 15.33 of the Code, the Authority must publish the sections of the reports that relate to an alleged breach of the Code by the Reconciliation Manager by 0930 hours on the business day following the day on which the Authority receives the report. These reports are available at <http://www.ea.govt.nz/industry/markets/statistics-reports/reconciliation-manager-breach-reports/>

² The Code does not require the Market Administrator to publish any self-reported breaches of the Code by the Market Administrator. However, the Market Administrator has chosen to do so.

Self reported breaches by the Pricing Manager (*under clause 13.213 of the Code*)

There were no self reported breaches by the Pricing Manager.

Self reported breaches by the Clearing Manager (*under clause 14.74 of the Code*)

There were no self reported breaches by the Clearing Manager.

Self-reported breaches by the Reconciliation Manager (*under clause 15.30(1) of the Code*)

Note that this is a summary of the reconciliation manager monthly breach report which is published separately

Participant	Time	Breach	Nature of breach
A Participant	19/03/2012 16:00	A participant failed to submit accurate volume information to the reconciliation manager in accordance with 15.2(1)(a) of the code relating to the February 2012 consumption period. The participant submitted a revised file to the reconciliation manager by 14:00 on business day 16.	N/A

Self reported breaches by the Registry Manager (*Not required by the Code*)

There were no self reported breaches by the Registry Manager.

Self reported breaches by the Market Administrator

There were no self reported breaches by the Market Administrator.

Self-reported breaches by the System Operator (*under clause 13.102 (2) of the Code*)

Date of breach	Time of breach	Rule breached	Nature of breach	Reason for breach
04/11/2011	00:35	Part 13 Subpart 2 Clause 13.62(1)(d)	Missed PDS due to Database lock up. Our Ref: EGR 1897	Failure to publish PDS
11/01/2012	12:00	Part 13 Schedule 13.3 7	The System Operator failed to use information	-

Date of breach	Time of breach	Rule breached	Nature of breach	Reason for breach
			regarding KWA T1 in the dispatch schedule. Our Ref: EGR 1923	
11/11/2011	10:30	Part 13 Subpart 2 13.87 (3)	System Operator failed to use the current output levels of each generator in preparing dispatch prices, dispatch quantities, dispatch arc flows, dispatch group constraint formulas and HVDC component flows. Our Ref: EGR 1904	-

Discretionary action by the System Operator (*under clause 13.102 (1) of the Code*)

Time	Nature Of Divergence	Reason for Divergence
12:14	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max: 245 Start: 01-Mar-2012 12:14 End: 01-Mar-2012 13:00 Notes: Last Dispatched Mw: 345, Line 1 offload, 100MW
08:49	DISCRETION	SFD2201 SFD21 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 03-Mar-2012 08:49 End: 03-Mar-2012 09:00 Notes: Claimed under rule exemption 13.82 Last Dispatched Mw: 15.88
06:55	DISCRETION	ARG1101 BRR0 Discretion Clause 13.70, Part 13 EN Max : 0 Start: 05-Mar-2012 06:55 End: 05-Mar-2012 07:30 Notes: Discretioned to zero for switching time on ARG_BLN cct. Last Dispatched Mw: 11
14:39	DISCRETION	TWI2201 Discretion Clause 13.70, Part 13 SIR Max : 0 Start: 05-Mar-2012 14:39 End: 05-Mar-2012 23:59 Notes: Discretioned to zero as per offers. Last Dispatched: IntF: 1 IntS: 1
02:34	DISCRETION	SFD2201 SFD21 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 06-Mar-2012 02:34 End: 06-Mar-2012 03:00 Notes: The peaker is not needed for security. Rule exemption Part 13, Subpart 2, Clause 13.83 a was cited by Contact Trader. Last Dispatched Mw: 2.24
02:49	DISCRETION	SFD2201 SFD22 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 06-Mar-2012 02:49 End: 06-Mar-2012 03:00 Notes: The peaker is not needed for security. Rule exemption Part 13, Subpart 2, Clause 13.83 a was cited by Contact Trader. Last Dispatched Mw: 1.43
04:33	DISCRETION	SFD2201 SFD22 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 06-Mar-2012 04:33 End: 06-Mar-2012 05:00 Notes: Claimed under rule exemption part 13, subpart 2, clause 13.82(a) Last Dispatched Mw: 0
05:56	DISCRETION	SFD2201 SFD21 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 06-Mar-2012 05:56 End: 06-Mar-2012 06:00 Notes: Claimed under rule exemption part 13, subpart 2, clause 13.82(a) Last Dispatched Mw: .34
22:34	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 195 Start: 06-Mar-2012 22:34 End: 06-Mar-2012 23:00 Notes: Potline 3, 120MW. Last Dispatched Mw: 315
12:56	DISCRETION	ARG1101 BRR0 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 09-Mar-2012 12:59 End: 09-Mar-2012 13:29 Notes: during BLN-KIK switching Last Dispatched Mw: 10
14:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 225 Start: 10-Mar-2012 14:05 End: 10-Mar-2012 14:30 Notes: Planned offload of #2 potline, 165 MW. Last Dispatched Mw: 390

Time	Nature Of Divergence	Reason for Divergence
10:57	DISCRETION	COB0661 COB0 Discretion Clause 13.70, Part 13 EN Min : 2 Start: 15-Mar-2012 10:57 End: 15-Mar-2012 14:00 Notes: for voltage support in USI. Last Dispatched Mw: 0
11:05	DISCRETION	COB0661 COB0 Discretion Clause 13.70, Part 13 EN Min : 4 Start: 15-Mar-2012 11:05 End: 15-Mar-2012 14:00 Notes: for voltage support in USI. Last Dispatched Mw: 2
05:02	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 279 Start: 16-Mar-2012 05:02 End: 16-Mar-2012 05:30 Notes: planned Line 2 offload 100MW Last Dispatched Mw: 379.2
11:03	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 239 Start: 16-Mar-2012 11:03 End: 16-Mar-2012 11:25 Notes: Potline 1 reduction 175 MW Last Dispatched Mw: 414
13:02	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 290 Start: 16-Mar-2012 13:02 End: 16-Mar-2012 13:25 Notes: Potline 1 Reduction (110MW) Last Dispatched Mw: 400
17:00	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 208 Start: 17-Mar-2012 17:00 End: 17-Mar-2012 17:25 Notes: Potline Reduction Line 2 166MW Last Dispatched Mw: 374
05:31	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 274 Start: 21-Mar-2012 05:31 End: 21-Mar-2012 06:30 Notes: Planned reduction potline #1, 70 MW. Last Dispatched Mw: 344
13:04	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 177 Start: 21-Mar-2012 13:04 End: 21-Mar-2012 13:30 Notes: planned potline line 1 171MW Last Dispatched Mw: 348
13:20	DISCRETION	SFD2201 SFD21 Discretion Clause 13.70, Part 13 ENR Max : 0 Start: 22-Mar-2012 13:20 End: 22-Mar-2012 13:30 Notes: U21 tripped from 70MW Last Dispatched Mw: 70
13:56	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 161 Start: 22-Mar-2012 13:56 End: 22-Mar-2012 14:30 Notes: Potline 1 of 173 MW planned. Last Dispatched Mw: 334
18:55	DISCRETION	COB0661 COB0 Discretion Clause 13.70, Part 13 EN Min : 14 Start: 23-Mar-2012 18:55 End: 23-Mar-2012 19:00 Notes: Discretioned to 14 MW's in anticipation of islanding from T3 outage at 19:00 Last Dispatched Mw: 3.7
18:58	DISCRETION	COB0661 COB0 Discretion Clause 13.70, Part 13 EN Min : 17 Start: 23-Mar-2012 18:58 End: 23-Mar-2012 19:00 Notes: Discretioned to 17 MW's in anticipation of islanding from T3 outage at 19:00 Last Dispatched Mw: 14
13:00	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 179 Start: 24-Mar-2012 13:00 End: 24-Mar-2012 13:25 Notes: Potline Reduction Line 1 171 MW Last Dispatched Mw: 354
03:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 205 Start: 25-Mar-2012 03:05 End: 25-Mar-2012 03:30 Notes: Last Dispatched Mw: 286
03:10	DISCRETION	HLY2201 HLY5 Discretion Clause 13.70, Part 13 EN Min : 225 Start: 25-Mar-2012 03:10 End: 25-Mar-2012 05:30 Notes: Claimed rule exemption Part 13, Subpart 2, Clause, Clause 13.82 (a) Last Dispatched Mw: 215
01:03	DISCRETION	HLY2201 HLY5 Discretion Clause 13.70, Part 13 ENR Min : 225 Start: 26-Mar-2012 01:03 End: 26-Mar-2012 06:00 Notes: Claimed rule exemption Part 13, Subpart 2, Clause 13.82 (a) Last Dispatched Mw: 219.12
05:03	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 120 Start: 27-Mar-2012 05:03 End: 27-Mar-2012 05:30 Notes: Potline 2, 165MW. Last Dispatched Mw: 285
11:05	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 358 Start:

Time	Nature Of Divergence	Reason for Divergence
14:29	DISCRETION	28-Mar-2012 11:05 End: 28-Mar-2012 11:30 Notes: Line 2 scheduled offload. Last Dispatched Mw: 525 MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 465 Start: 28-Mar-2012 14:29 End: 28-Mar-2012 15:00 Notes: emergency poltline line 2 Last Dispatched Mw: 525
14:32	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 435 Start: 28-Mar-2012 14:32 End: 28-Mar-2012 15:00 Notes: emergency poltline line 2 Last Dispatched Mw: 465
05:11	DISCRETION	MAN2201 MAN0 Discretion Clause 13.70, Part 13 EN Max : 130 Start: 30-Mar-2012 05:05 End: 30-Mar-2012 05:11 Notes: Last Dispatched Mw: 315

This report was published by the Market Administrator on **18 April 2012**. For further inquiries please contact the Market Administrator at the Electricity Authority or send an email to retailoperations@ea.govt.nz.